PURPOSE:
The purpose of this policy is to define the requirements and procedures the Partners Human Research Committees (PHRC) follow for review of non-exempt human-subjects research conducted by employees or agents (e.g., professional staff) of applicable Partners-affiliated entities at sites other than those owned or controlled by the applicable Partners-affiliated entities (off-site research).

DEFINITIONS:
See Definition of Human-Subjects Research

*Employees or agents* means members of the workforce of the applicable Partners-affiliated entities who: (1) act on behalf of the institution; (2) exercise institutional authority or responsibility; or (3) perform institutionally designated activities. Employees and agents include professional staff, students/interns, contractors, and volunteers, among others, regardless of whether the individual is being paid by the hospital.
**Policy Statement:**

Human-subjects research conducted by employees or agents (e.g., professional staff) of the applicable Partners-affiliated entities at sites other than those owned or controlled by them (off-site research) will be conducted in compliance with applicable international, federal, state, and local laws and regulations as well as any requirements of the performance site’s institution or entity.

Research conducted by employees or agents of the applicable Partners-affiliated entities wholly or partly in space or a site leased by them from another entity (the landlord) will be considered in the same way as research conducted in/at the applicable Partners-affiliated entities-owned space/sites. However, the investigator is responsible for confirming with the landlord, the responsible office within the applicable Partners-affiliated entities, or others as necessary that the specific proposed research activities are consistent with the activities permitted to be conducted in the space/at the site under the terms of the lease.

**Procedures:**

Investigators must specify in the Insight/eIRB application the places where employees or agents of the applicable Partners-affiliated entities will conduct the research, including any off-site locations. These sites may be institutions, facilities, or entities such as Partners and non-Partners healthcare institutions; private physician or group practices; rehabilitation, nursing, or assisted living facilities; private or public primary schools, colleges or universities; and community or other activity-based centers. They may be located in Massachusetts, other U.S. states, or foreign countries.

1. **Review of Performance Site**

When reviewing human-subjects research that takes place off-site, the PHRC will obtain and consider information about the performance site and study population appropriate to the procedures involved in the research and the degree of risk to subjects. The review may include some or all of the following information:

- The anticipated scope of the research activities that will take place at the site;
- The size and complexity of the institution/facility/entity;
- Standards of professional conduct and practice;
- Policies and procedures of site at which off-site research will occur;
- Applicable laws and regulations;
- The types of subject populations likely to be involved;
- Language(s) understood by prospective subjects;
- Method for equitable selection of subjects;
- Method for minimizing the possibility of coercion or undue influence in seeking consent;
- Method for protection of privacy of subjects;
- Method for maintenance of confidentiality of data; and
- Safeguards to protect the rights and welfare of vulnerable subjects;

**Domestic Performance Sites Outside Massachusetts**

When the performance site is in a state other than Massachusetts, institutional representatives of the performance site will be asked to provide information about the performance site and confirm that local applicable laws and requirements will be met. In addition, the PHRC may consult with the Partners Office of the General Counsel (OGC) about applicable state law.
When research is conducted in a state other than Massachusetts, the PHRC will ensure that the participants are afforded protections that are at least equivalent to those provided by PHRC policies and the ethical standards outlined in the Belmont Report.

**International Performance Sites**

When the performance site is outside the United States or its territories, consultants at the international site or within the United States will be asked to provide information about the performance site and applicable laws. Consultants in this context are individuals with personal knowledge of the local research context, such knowledge having been obtained through extended, direct experience with the research institution, its subject populations and its surrounding communities. The PHRC must consider cultural, economic and political conditions in the country where the research will take place when reviewing the study population and recruitment and consent procedures and when assessing the risks and potential benefits to participants.

Research conducted outside the United States or its territories will generally be subject to approval of a local IRB or Ethics Committee (EC) and/or governmental officials, such as the Ministry of Health. When the research is federally-funded, IRB/EC approval must be obtained from an institution/entity in that country that has a current approved FWA and a registered IRB/EC. The PHRC will require documentation of the site’s IRB approval and FWA/IRB registration status. A database of registered international IRBs searchable by country can be found on the OHRP website at [http://ohrp.nih.gov/search/](http://ohrp.nih.gov/search/). In addition, OHRP has compiled a listing of the laws, regulations and guidelines that govern human-subjects research in many countries around the world (see The International Compilation of Human Subject Research Protections).

When research is conducted outside the United States or its territories, the PHRC will ensure that the participants are afforded protections that are at least equivalent to those provided by PHRC policies and the ethical standards outlined in the Belmont Report.

2. **ENGAGEMENT OF INSTITUTIONS IN HUMAN-SUBJECTS RESEARCH**

As part of its review, the PHRC will consider whether the performance sites listed in the application are engaged in human-subjects research and what, if any, additional IRB approvals are needed. The OHRP guidance document *Guidance on Engagement of Institutions in Human Subjects Research* will be used as the basis for determining engagement in human-subjects research. Such determinations will be made in collaboration and consultation with authorized representatives of the performance site.

**Performance Sites NOT Engaged in Human-Subjects Research**

When the research will be conducted off-site at a performance site that is not engaged in human-subjects research, the PHRC may require written documentation of permission to use the facilities for research signed by the institution/facility/entity’s legally authorized representative. For example, research conducted in the Boston Public Schools must be coordinated through the Boston Public Schools Office of Research, Assessment and Evaluation (RAE). See Policy and Guidelines for Conducting Educational Research in the Boston Public Schools [http://www.bostonpublicschools.com/files/RAE-1.1%20Guidelines%20for%20Conducting%20Research.pdf](http://www.bostonpublicschools.com/files/RAE-1.1%20Guidelines%20for%20Conducting%20Research.pdf).
The PHRC has a template agreement that may be used for this purpose (Performance Sites Not Engaged in Research).

Performance Sites Engaged in Human-Subjects Research
When the performance site is engaged in human-subjects research, refer to policy on Review of Multi Site Human-Subjects Research: Investigator-Initiated Collaborative Research.

Other Applicable Partners Healthcare Policies:
Review of Multi Site Human-Subjects Research: Investigator-Initiated Collaborative Research

Reference:
OHRP Guidance on Engagement of Institutions in Human Subjects Research
Policy and Guidelines for Conducting Education Research in the Boston Public Schools

Development and Consultation
Human Research Office
Office of the General Counsel

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